

IRA Transfer Form

Mail to: Pearl Mutual Funds, 2610 Park Ave., P.O. Box 209, Muscatine, IA 52761
1-866-747-9030 (toll free)
(563) 288-4101 (fax) info@pearlfunds.com (email)



Use this form when transferring assets from an existing IRA Account to a Pearl Funds IRA Account. **If you have not already established a Pearl Funds IRA Account, you must also submit a New IRA Account Form.** Upon receipt of this form, we will contact your current custodian to arrange the transfer.

Complete a separate form for each different type of account being transferred.

1. Registration and Address (please print)

NAME (FIRST, MIDDLE INITIAL, LAST) _____

SOCIAL SECURITY NUMBER OR
TAXPAYER IDENTIFICATION NUMBER _____

DATE OF BIRTH (MO/DATE/YEAR) _____

STREET OR PO BOX _____

CITY / STATE / ZIP CODE _____

(_____) _____
DAYTIME PHONE

EXTENSION _____

(_____) _____
EVENING PHONE

EXTENSION _____

2. Account Type

Select **ONE** of the following account types:
(Refer to the Pearl Disclosure Statement for eligibility requirements and contribution limits)

Transfer from an existing IRA – account number _____

- Specify Type: Traditional
 Roth
 SEP
 Simple
 CESA

Rollover from an existing Qualified Plan – account number _____

3. Current Trustee / Custodian

Please attach a copy of your most recent statement

NAME OF CURRENT TRUSTEE / CUSTODIAN _____

ADDRESS OF CURRENT TRUSTEE / CUSTODIAN _____

CITY / STATE / ZIP _____

ATTENTION _____

TRUSTEE / CUSTODIAN TELEPHONE NUMBER _____

4. Investment Instructions

TRANSFER INSTRUCTIONS - To transfer assets, they must be liquidated.

- AMOUNT TO LIQUIDATE All
 Partial Amount of \$ _____
- WHEN TO LIQUIDATE Immediately
 At maturity _____
MONTH/DAY/YEAR

4. Investment Instructions (Continued)

Please indicate the amount or percentage of contributions you wish allocated to the Pearl Funds. Your allocation must total 100%.

<u>Fund</u>	<u>Contribution Amount</u>	or	<u>Percentage</u>
Pearl Total Return Fund	\$ _____		_____ %
Pearl Aggressive Growth Fund	\$ _____		_____ %
		Total	_____ 100%

5. Provide Your Signature

An IRA Account has been established with Pearl Funds and First National Bank of Muscatine has been appointed as the successor Custodian. I hereby authorize the liquidation of the assets noted above, which your company holds on my behalf. I understand that I am responsible for determining my eligibility to transfer or rollover assets within the limits set forth by tax laws and any other related regulations. I assume responsibility for any tax consequences or penalties that may apply to the transfer of these assets and I agree that the Trustee or Custodian shall in no way be held responsible.

SIGNATURE

DATE

NOTE: The current Trustee / Custodian may require your signature to be guaranteed. Call that institution for their requirements.

Signature Guarantee:

(Please place Signature Guarantee stamp here)

Each signature must be guaranteed by a bank, broker-dealer, savings and loan association, credit union, national securities exchange or any other "eligible guarantor institution" as defined in rules adopted by the Securities Exchange Commission. Signatures may also be guaranteed with a medallion stamp of the STAMP program or the NYSE Medallion Signature Program, provided that the amount of the transaction does not exceed the relevant surety coverage of the medallion. **A signature guarantee may NOT be obtained through a Notary Public.**

6. Custodian Acceptance

(This section to be completed by Pearl Funds)

This is to advise you that First National Bank of Muscatine, as Custodian, will accept the account identified above for:

ACCOUNTHOLDER NAME

ACCOUNT NUMBER

This transfer of assets is to be executed from fiduciary to fiduciary and will not place the participant in actual receipt of all or any of the plan assets. No federal income tax is to be withheld from this transfer of assets.

AUTHORIZED SIGNATURE

DATE

7. Instructions to Current Trustee / Custodian

Please attach a copy of this form to the check made payable to the applicable Fund(s) and return to:

Pearl Mutual Funds
P.O. Box 209
Muscatine, IA 52761

Please indicate the account number of the Account holder on all documents.